

ESTATE PLANNING QUESTIONNAIRE

MALOUF NAKOS SWINSON & JACKSON, P.C.

Merit Tower

12222 Merit Drive, Suite 1000

Dallas, Texas 75251

Tel: (214) 273-0600

Fax: (214) 273-0601

FAMILY AND PERSONAL INFORMATION

General Personal Information

Full legal name: _____
Preferred name: _____
Home Address: Street: _____
City, State & Zip: _____
County: _____
Telephone: _____
Home Fax: _____
Home e-mail: _____
Birth date (& age): _____
Soc. Sec. No.: _____
Citizenship: _____
Dates of prior marriages (if any) and to whom: _____
How long have you lived in Texas? _____
Occupation: _____
Employer: _____
Business address: _____

Bus. Phone: _____
Bus. Fax: _____
Bus. e-mail: _____

Preferred mailing address (circle one): Home, Home e-mail, Business or Business e-mail

Children

If you have children, please list their names and other information below, including whether each child is adopted.

<u>Name, Address & Occupation</u>	<u>Gender</u>	<u>Birth Date</u>	<u>Adopted (Y/N)</u>	<u>Net Worth</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Are additional children in the future (including adopted children) even *remotely* possible? _____ (Y/N)

Other Family Members and Dependents

Parents:

<u>Name</u>	<u>Age</u>	<u>Spouse</u>	<u>Address</u>
_____	_____	_____	_____
_____	_____	_____	_____

Brothers and Sisters:

<u>Name</u>	<u>Age</u>	<u>Spouse</u>	<u>Address</u>
_____	_____	_____	_____
_____	_____	_____	_____

Other Dependents

<u>Name</u>	<u>Age</u>	<u>Spouse</u>	<u>Address</u>
_____	_____	_____	_____
_____	_____	_____	_____

Advisors

	<u>Name</u>	<u>Address</u>	<u>Telephone</u>
Accountant:	_____	_____	_____
Broker:	_____	_____	_____
Life Ins.:	_____	_____	_____
Casualty Ins.:	_____	_____	_____
Banker:	_____	_____	_____
Attorney:	_____	_____	_____
Other:	_____	_____	_____

FINANCIAL STATEMENT

<u>Assets</u>	<u>Designated Beneficiary</u>	<u>How Titled</u>	<u>Approx. Value</u>
Your home			
Other real estate ¹			
Oil, gas and other mineral interests ¹			
Stocks, bonds, mutual funds and other investments (other than retirement assets)			
Cash, CDs, money market accounts			
Automobiles and other vehicles			
Valuable collections/collectibles/heirlooms			
Other household furnishings/personal effects			
Retirement assets, such as 401(k) plans, profit sharing plans, pension plans, IRAs, etc.			
Life insurance (identify insured and show both death benefit <u>and</u> cash value, if any)			
Closely held business interests (describe)			
Other miscellaneous assets (describe)			
Total Assets			

<u>Liabilities (Debts)</u>	<u>Current Balance</u>
Mortgage on home	
Other real estate mortgages	
Personal debt (credit cards, car notes, etc.)	
Accrued taxes	
Other debts	
Total Liabilities	

Net worth for estate planning purposes (Total Assets minus Total Liabilities)	
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¹Please provide state and county of location.

SPECIFIC FIDUCIARY APPOINTMENTS

Guardian of Minor Children (if any):

If you have minor children, whom would you want to serve as their personal guardian (to reside with, select schools for, etc.) in the event of your death? Please list in order of preference.

- 1. _____
- 2. _____
- 3. _____

Executor:

The executor is the person or entity appointed in your will to represent and administer your estate. Whom would you want to serve as the executor of your estate? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

Trustee:

The trustee is the person or entity appointed in your will or trust instrument to manage the assets transferred to a trust for the benefit of its beneficiaries. If a trust is appropriate to your estate plan, whom would you want to serve as the trustee of any trusts? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

Statutory Durable Power of Attorney:

A statutory durable power of attorney is a written instrument granting an appointed agent the authority to perform certain specified acts on your behalf. Since a power of attorney confers a great degree of authority and discretion, the agent must be completely trusted. If a power of attorney is appropriate, whom would you want to serve as your agent? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

Medical Power of Attorney:

A medical power of attorney is a written instrument appointing an agent to make health care decisions when you are incapable of making or communicating such decisions. If a medical power of attorney is appropriate, whom would you want to serve as your agent? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

Directive to Physicians:

A directive to physicians (or living will) is a written instrument requesting your physician not to artificially prolong your life when death is imminent. The directive may also designate an individual to make a treatment decision if you are incapable of making or communicating such a decision. If a directive to physicians is appropriate, whom would you want to make such a treatment decision? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

Designation Of Guardian:

A designation of guardian is a written instrument designating an individual to serve as guardian of your person or estate in the event of your incompetency. Since the guardian of the person would manage your personal care and the guardian of the estate would manage your financial affairs, you may wish to appoint different persons to serve in these capacities. If a designation of guardian is appropriate, whom would you want to serve as your guardian? List in order of preference.

- 1. _____
- 2. _____
- 3. _____

**FREQUENTLY ASKED QUESTIONS
ABOUT
GETTING STARTED WITH YOUR ESTATE PLAN**

What is the first step in the estate planning process?

The first step in the estate planning process is to schedule an appointment with an estate planning attorney to discuss your particular situation. The initial estate planning conference provides an opportunity for your attorney to obtain information about you, your family, your assets and your goals and to make some recommendations to you regarding an appropriate estate plan. The meeting will last approximately one hour. At the conclusion of the meeting, the attorney will make recommendations about which estate planning techniques best suit your needs, and will advise you of the fees for implementing these techniques.

What should I bring with me to the initial conference?

Please bring the following items:

- A completed financial statement listing your major assets and liabilities (debts), with approximate values. See attached form. **Note:** Be sure to indicate separately “pre-tax” assets (like IRAs and qualified retirement plans) versus “after-tax” assets (like regular investment accounts, money market accounts, etc.).
- A completed personal information sheet. See attached form.
- Copies of your current estate planning documents.
- Copies of documentation relating to any business you own, such as organizational documents and any buy-sell agreement.
- A copy of any will or trust of which you are the beneficiary.
- If you have ever been divorced, a copy of the divorce decree or property settlement agreement.
- Any premarital agreement or other marital property contract.

What should I think about before meeting with my estate planning attorney?

The two most basic questions are:

- To whom do you want to leave your assets, and
- Who do you want to name in various positions of responsibility?

With respect to the bulk of your estate, the answer to the first question is often very standard. Single people with children usually leave the bulk of their estate to their children in equal shares. Unmarried individuals without children should make a list of persons (or charities) they wish to benefit and the percentage share to each.

The answer to the second question can sometimes be more difficult. The executors, trustees, agents and guardians that you name in your estate planning documents should be trustworthy, responsible people (collectively called “fiduciaries”). In some cases, a professional (such as a bank having trust powers or a private trust company) can be named as a fiduciary. You do not need to know exactly who you will name in every position before meeting with your attorney. Your attorney will help advise you in regard to these matters. Preparation of your documents, however, will be advanced if you at least bring with you to the meeting the names, addresses and phone numbers of all persons who *might* be involved in your estate plan.

What else should I think about in preparation for the meeting?

The following issues should be considered and discussed:

- Any particular issues or concerns, such as disposition of your business in the event of your death, or providing for an adult disabled child who is receiving government benefits.
- If you are not a U.S. citizen.
- If you have inherited any assets from someone, and the specific amount and type of assets.
- The current beneficiaries that you have named for your life insurance policies, IRAs and qualified retirement plans.
- If you wish to make relatively large cash gifts or gifts of other valuable assets, a list of those persons and the amount or items that you are considering leaving to them.